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Internal Controls 2018

Toronto, Ontario • June 5, 2018

EXPERT SPEAKERS TORONTO, ONTARIO FACULTY:



Kyle Hulme, Assistant Vice-President,
Internal Audit & Compliance, **RIOCAN**



Richard Leblanc, Associate Professor, Law,
Governance & Ethics, **YORK UNIVERSITY**



Angela Byrne, President,
ANGELA BYRNE CONSULTING INC.



Ram Balakrishnan, Practice Lead, Internal
Audit & Financial Advisor, **PROTIVITI**



David Weiss, CEO,
WEISS INTERNATIONAL



Jennifer Purves, Principal,
JEM CORPORATE SERVICES



Linda Lister, Senior Manager, Fraud
Investigation and Dispute Services, **EY**



Rolandi Treska, Director, Risk Assurance
Services, **PwC**



Cristina Cabani, Manager, Risk Assurance
Services, **PwC**

Get Insight on Emerging Trends and Best Practices

- ✓ Learn how to assess the effectiveness of your internal controls
- ✓ Improve risk management by aligning your risk and control frameworks: leverage your controls to achieve strategic business objectives
- ✓ Explore control considerations to mitigate cyber risk and best practices for mounting your cyber defence
- ✓ Learn how to align people, process, policies and technology
- ✓ Analyze the growing role of artificial intelligence, robotics process automation, machine learning, and how emerging technologies are transforming internal controls processes
- ✓ Get strategies that you can use to develop a process for the safe disclosure and reporting of fraud, theft, misappropriation of funds, or other wrongdoing

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INTERNAL CONTROLS — SEMINAR PROGRAM AGENDA:

8:00 – 9:00 Registration and Continental Breakfast

9:00 Welcome and Opening Remarks

Best Practices in Internal Controls

Richard Leblanc, Associate Professor, Law, Governance & Ethics, York University

- Changes in risk governance requirements
- Internal controls and their independent assurance
- Red flags for internal controls failures and how to overcome them
- Best practices: internal controls over reputation, integrity and conduct
- Crisis management and social media governance

Networking Break

De-Risking Innovation

David Weiss, CEO, Weiss International

- Three core principles for innovation
- How can leaders de-risk innovation to increase the probability of successful innovation outcomes?
- How can risk professionals inadvertently make innovation more difficult?

Cybersecurity and Internal Audit

Ram Balakrishnan, Practice Lead, Internal Audit & Financial Advisory Practice, Protiviti

- An understanding of the cyber landscape
- Defining internal audit's role in cybersecurity
- Interpreting cybersecurity assessment results
- Educating the Audit Committee and Board on cybersecurity risk
- How IA can become a trusted cyber advisor

12:00 - 1:00 Luncheon Break

COSO Enterprise Risk Management Framework: Key Changes Impacting Internal Controls

Angela Byrne, President, Angela Byrne Consulting Inc.

- Understand the recent changes to the COSO ERM framework and why they make good sense for today and into the future
- Learn how leveraging the value of the ERM Framework benefits strategy and performance
- Discover ways to strengthen the control environment and internal controls
- Learn about changes to make today that will realize the most value
- Leave with practical strategies for implementation and insights on how to address common challenges

Changes to the Traditional Audit: Blockchain, Cryptocurrency and Bot Technology

*Rolandi Treska, Director, Risk Assurance Services, PwC
Cristina Cabani, Manager, Risk Assurance Services, PwC*

- Blockchain, cryptocurrency and bot technology: what does it all mean?

- Core concepts of robotics, bot technology and cryptocurrencies and how these technologies are transforming the way we work
- Increasing challenges and risks that organizations should be ready to face
- Practical steps to address challenges and risks from emerging technologies
- Considerations before investing in new technology in order to minimize and manage the risk of failure

Networking Break

Fraud Prevention Through Effective Internal Controls

Jennifer Purves, Principal, JEM Corporate Services

- Elements of an effective corporate anti-fraud program
- Proactive fraud detection: completing a fraud risk assessment, controls monitoring and analytics
- How to apply fraud prevention tactics for your organization's risk management programs
- Control mechanisms that assist in the prevention of fraud and risk
- Limiting opportunities for misconduct and catching errors early

How to Effectively Establish Organizational Buy-in to Internal Audit and Internal Controls

Kyle Hulme, Assistant Vice-President, Internal Audit and Compliance, RioCan

- How do you shift the paradigm away from “police officer” to “strategic partner”
- Common pitfalls that cause an Internal Audit & Internal Controls department to lack organization buy-in
- Ways to create, deploy and provide “value” to business functions
- How to bridge the gap between compliance and operational improvement

Fraud Case Study: Understanding Fraud Through a Real-Life Example

Linda M. Lister, Senior Manager, Fraud Investigation and Dispute Services, EY

- How the activities were detected
- Issues related to the preservation of evidence (what not to do)
- How the fraud occurred: the various schemes involved (fake vendors, ghost employees, and multiple expense report and procurement card schemes)
- Applicable entity and transaction level controls to mitigate risks
- Detective monitoring techniques
- Insights on how fraud can occur in organizations
- Understanding the actions that should be taken to protect evidence when fraud is first suspected
- Increase awareness of effective proactive measures to assist in preventing fraud

5:00 Closing Remarks from the Seminar Leader

REGISTER BY PHONE, ON-LINE, OR IN THESE 3 EASY STEPS!

1 PRINT YOUR NAME AND CONTACT INFORMATION

Mr./Ms./Mrs. _____ Title _____

Organization _____

Name of Approving Manager _____ Title _____

Address _____

City _____ Province _____ Postal Code _____

Telephone () _____ Ext _____ Fax () _____

Email address _____

Company's main line of business _____ Number of Employees: _____

2 SELECT YOUR VENUE OPTION AND METHOD OF PAYMENT

| Prices subject to applicable HST/GST. | REGULAR FULL PRICE |
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| Groups of 3 or More – Each Person Pays: | \$1,299 per person |
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Toronto, ON: June 5, 2018 Calgary, AB: June 12, 2018

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360 Bay Street, Suite 900
Toronto, Ontario M5H 2V6

 **TELEPHONE:** 1.800.474.4829

LOCATION:

Internal Controls 2018 will be held in convenient downtown locations. Detailed venue information will be provided with your registration confirmation and/or six weeks prior to the event.

YOUR REGISTRATION INCLUDES:

Registration fees include all course materials, continental breakfast, lunch, and refreshments. **Parking and accommodation are not included.**

SPONSORSHIP, EXHIBITION, AND PROMOTIONAL OPPORTUNITIES:

Increase your visibility with internal controls and audit professionals at *Internal Controls 2018*. A limited number of sponsorship options are available. Contact our sponsorship department by telephone at 1.800.474.4829, ext. 224, or by email at sponsorship@infonex.ca.

CANCELLATION POLICY:

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